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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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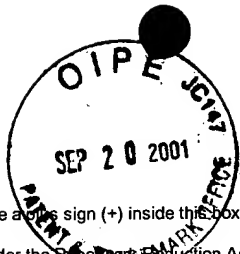
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/922,066	
	Filing Date	August 3, 2001	
	First Named Inventor	Thierry Godel et al.	
	Group Art Unit	1614	
	Examiner Name		
Total Number of Pages in This Submission	4	Confirmation Number	8721

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Arthur D. Dawson
Signature	<i>Arthur D. Dawson</i>
Date	September 18, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail on the date shown below in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231:			
Typed or printed name	Arthur D. Dawson		
Signature	<i>Arthur D. Dawson</i>	Date	September 18, 2001

⊕ Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Thierry Godel et al.

Group: 1614

Serial No. 09/922,066, filed August 3, 2001

For: SUBSTITUTED 4-PHENYL-PYRIDINE COMPOUNDS WITH ACTIVITY  
AS ANTAGONISTS OF NEUROKININ 1 RECEPTORS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Nutley, New Jersey 07110  
September 18, 2001

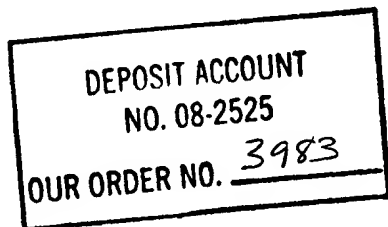
Commissioner for Patents  
**BOX MISSING PART**  
Washington, D.C. 20231

Sir:


In response to the Notice to file Missing Parts of Application, applicants enclose an original Declaration executed by Thierry Godel, Torsten Hoffmann, Patrick Schnider and Heinz Stadler, co-inventors of the captioned application. The submission of the executed Declaration completes the formal requirements for filing the captioned application. Please make the foregoing of record.

The payment of a surcharge of \$130.00 is to be charged to Deposit Account No. 08-2525. Any underpayment or overpayment should be charged or credited to Deposit Account No. 08-2525.

Respectfully submitted,



Enclosure  
113594

  
Agent for Applicant(s)  
Arthur D. Dawson  
(Reg. No. 35,113)  
340 Kingsland Street  
Nutley, New Jersey 07110  
Telephone: (973) 235-6208  
Telefax: (973) 235-2363



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/922,066	08/03/2001	Thierry Godel	20706

00151  
HOFFMANN-LA ROCHE INC.  
PATENT LAW DEPARTMENT  
340 KINGSLAND STREET  
NUTLEY, NJ 07110

CONFIRMATION NO. 8721

## FORMALITIES LETTER



\*OC000000006539624\*

Date Mailed: 09/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

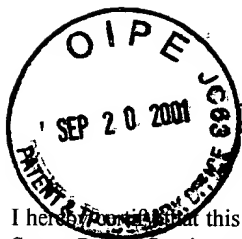
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/21/2001 WABRHAM1 00000012 082525 09922066

01 FC:105 130.00 CH



Receipt #5

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being transmitted therewith) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, Washington, D.C. 20231.

Arthur D. Dawson (Reg.No. 35,113)

(Print Name)

*Arthur D. Dawson*

(Signature)

Date: September 18, 2001

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Thierry Godel et al.

Group: 1614

Serial No. 09/922,066, filed August 3, 2001

For: SUBSTITUTED 4-PHEYNL-PYRIDINE COMPOUNDS WITH ACTIVITY  
AS ANTAGONISTS OF NEUROKININ 1 RECEPTORS

**REQUEST FOR CORRECTED FILING RECEIPT**

Nutley, New Jersey 07110

September 18, 2001

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Sir:

Applicants respectfully request a corrected filing receipt for the captioned case correcting an error in the Title.

Applicants have just received the filing receipt in Serial No. 09/922,066. In reviewing the filing receipt, it was noted that the word "NEUROKININ" appears in the title as two words. The correct Title should read:

Serial No. 09/922,066  
Filed: August 3, 2001

SUBSTITUTED 4-PHENYL-PYRIDINE COMPOUNDS WITH  
ACTIVITY AS ANTAGONISTS OF NEUROKININ 1 RECEPTORS.

It is, therefore, respectfully requested that a new filing receipt be issued correcting this error.

Respectfully submitted,



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113916